JAN 1 1 2002 U

Receipty

PATENT

Atty. Docket No. 073600.P007XD4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

RUMENNIK, V., ET AL.

Application No.: 09/961,229

Filed: September 20, 2001

For: HIGH-VOLTAGE TRANSISTOR WITH

MULTI-LAYER CONDUCTION REGION

Examiner:

Not Yet Assigned

Art Unit:

2811

Response To Notice To File Corrected Application Papers and Request for Corrected Filing Receipt

Commissioner of Patents and Trademarks Washington, D.C. 20231

Attn: Box Missing Parts

Dear Sir:

In response to the Notice to File Corrected Application Papers - *Filing Date Granted*, mailed <u>October 23, 2001</u>, Applicants enclose a new set of formal drawings, which are now in compliance with 37 C.F.R. §1.84. Please find enclosed 15 sheets of drawings, containing 22 figures.

Additionally, Applicants request correction to the Filing Receipt mailed 10/23/2001, copy enclosed. The Atty. Docket No. should be --003692P007XD4--, instead of "003692P007XD3", as presently stated. A corrected filing receipt reflecting the correct attorney docket number is respectfully requested.

003692.P007XD4

Page 1 of 2

Notice to File Corrected Application Papers

If any fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed.

Respectfully submitted,

BURGESS & BEREZNAK, LLP

Dated: 11/1/2001

Bradley J. Bereznak Reg. No. 33/474

Customer No. 27660 800 West El Camino Real Suite 180 Mountain View, CA 94040 (650) 903-2264

FIRST CLASS CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that the foregoing Response to Notice to File Corrected Application Papers and Request for Corrected Filing Receipt, together with 15 sheets of formal drawings, are being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, Attn. Box Missing Parts on:

November 1, 2001
Date of Deposit

Vivian . Buijten

Name of Person Mailing Correspondence

Signature

Date

United States Patent



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

JAH 1 1 2002

ATTORNEY DOCKET NUMBER

09/961,229

LOS ANGELES

Vladimir Rumennik

003692P007XDB

CONFIRMATION NO. 4375

Date Mailed: 10/23/2001

FORMALITIES LETTER

OC000000006956973

Bradley J. Bereznak

Blakely, Sokoloff, Taylor & Zafman Blakely, Sokoloff, Taylor & Zafman

12400 Wilshire Boulevard Los Angeles, CA 90025-1030

OCT 2 6 2001

STATUS DB-LA

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be e obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a) M

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BI Due date

Client Name Power, Integrations, Inc.

Docket Initial

3692 P007XD4

Dock. Sup. Initial Atty/Initial

BJB

Pat/Ser/Reg 4961,229

Description

Response due to file corrected application papers



United States Patent and Trademark Of



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING DATE

GRP ART UNIT

FIL FEE REC'D ATTY DOCKET NO DRAWINGS

09/961.229

09/20/2001

2811

1250

RADEN

003692P007XD3

CONFIRMATION NO. 4375

Bradley J. Bereznak Blakely, Sokoloff, Taylor & Zafman LLP Seventh Floor 12400 Wilshire Boulevard Los Angeles, CA 90025-1030



BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LOS ANGELES

Date Mailed: 10/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested definitions (if appropriate).

Applicant(s)

Vladimir Rumennik, Los Altos Hills, CA; Donald Ray Disney, Cupertino, CA;

STATUS DB-LA

Assignment For Published Patent Application

Power Integrations, Inc., San Joe, CA:

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/574,563 05/17/2000 WHICH IS A DIV OF 09/245,030 02/05/1999 PAT 6,207,994 WHICH IS A CIP OF 08/744,182 11/05/1996 ABN

F reign Applications

If Required, Foreign Filing License Granted 10/22/2001

Pr jected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

Title







High-voltage transistor with multi-layer conduction region

Preliminary Class

257

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).